



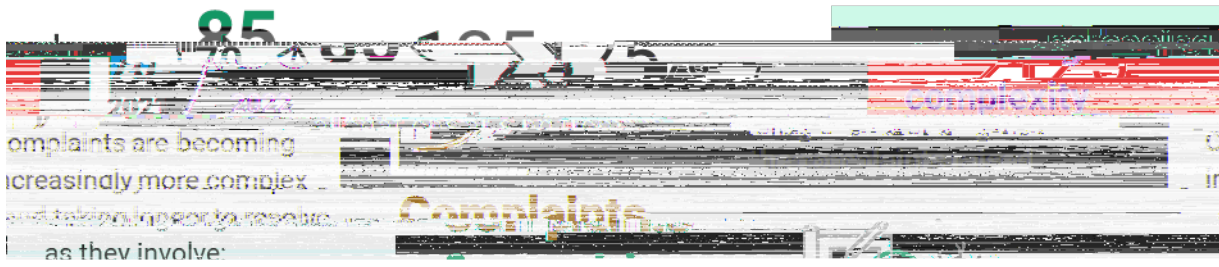
Research Integrity@UNSW

1 January – 31 December 2022

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AT A GLANCE



INTRODUCTION

UNSW Research integrity framework

The UNSW 

COMPLAINTS RECEIVED

The Conduct and Integrity Office (CIO) received 85 complaints and enquiries relating to UNSW research and researchers between 1 January and 31 December 2022.

As Figure

The CIO then determines whether the complaint may be addressed at the School/Faculty level or requires a misconduct

preliminary assessment. Examples of matters which may be addressed at the School/Faculty level includes matters related to research administration, such as, unintentional administrative errors, clerical errors/oversight.

The purpose of the preliminary assessment (further investigation) is to gather and assess whether the facts of the complaint, if substantiated, would constitute a breach of the Research Code. Additional allegations and/or respondents may be identified by the CIO during the initial assessment and/or preliminary assessment.

As indicated by Table 3 below, 17 of 60 complaints (comprising 52 separate allegations), met the scope of the ~~RR~~ e and were referred for a preliminary assessment.

Classification	Coin	Eg	Feedback	Total
Response provided	3	16		19
Preliminary assessment	17			17
Managed by another UNSW process	12	1		13
Dismissed	9	2		11
No action required	5	4		9
Complaint withdrawn	6			6
Referred to local level	2	1	1	4
Referred to ER/HR	3			3
Referred to another institution/organisation	3			3
TOTAL	60	24	1	85

Table 3: ~~How~~ complaints are managed

Complex complaints

DEVELOPMENTS, ACTIVITIES &

Risk	Cause	Prevent 2023+
		workplace grievances to prevent them from escalating into something more difficult to resolve.

Poor research supervision results in poor research practice, which impacts researcher and university credibility and trust in research.

Codes and procedures

16S.9 (n)-1.1)-4.3e (e)-4.1 (ad) 0.5.4 (f)-4.2.1)-4.2 (d)-4.3 (10.34.1)-1.3 1.1 ()1g(4.3 ()T3.8ctT15.85
 1 Tf-0.001 T (149)-4.2 [C]-9 (in)-1.01 (f)5 Tv.2 (2 (1.32 (a)0.0+7.1 (j)-12a b (30.0+7.r(e)913r,cc3 T(r)0.92 (hp(ms)-3.a||ic(ms)-3.↑p7lt-2.ydss 18.36 62.16 7p(a7)-2.u4 (c)2.b (7)c0TJT||hpc

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Investigation Panel Inquiry process

